

# **3rd International Conference Advanced Mechanics: Structure, Materials, Tribology**

---

## **Results of Field Experimental Studies of Artificial Foundation Soils from Composite Soils**

AIPCP25-CF-AMSMT2025-00048 | Article

PDF auto-generated using **ReView**



# Results of Field Experimental Studies of Artificial Foundation Soils from Composite Soils

Shakhlo Azimova<sup>1</sup>, Fakhriddin Khujanazarov<sup>1, a)</sup>

<sup>1</sup>*Samarkand State University of Architecture and Civil Engineering, Samarkand 140143, Uzbekistan*

<sup>a)</sup> Corresponding author: faxriddinxojanazarov0505@gmail.com

**Abstract:** The article presents the results of static stamp tests of composite soils of foundations of buildings and structures and an analysis of the change in the modulus of their deformation is performed.

**Keywords:** composite soil, deformation modulus, foundation, footing, plate load test, settlement, subsidence.

## INTRODUCTION

Typically, residential and industrial sites are allocated for construction on sites unsuitable for agricultural cultivation (marshy areas, ravines, etc.). The soils in such sites often have low strength, and foundations are constructed unevenly. In such geological conditions, in addition to pile foundations, which are relatively effective, soil foundations with artificially enhanced properties or improved performance characteristics of the foundation soil may in some cases be more effective. While the performance characteristics of the foundation soil are improved through structural methods, artificial improvements are achieved through compaction or strengthening.

To structurally improve the properties of foundation soils, methods such as the installation of soil cushions, the use of sheet piles, and soil reinforcement are used. Surface compaction, in-depth vibration compaction, compaction with soil piles, compaction with vertical drains under static loads, and compaction by lowering the water level are used to improve the properties of foundation soils. Soil strengthening methods include cementation, revivification, thermal strengthening, bituminization, chemical and electrochemical strengthening.

At the same time, composite soils are widely used in the construction of artificial foundations for buildings and structures, railway and highway foundations, hydraulic structures, and earthen dams. Naturally, in most cases, they are used in their natural composition for these purposes, without paying special attention to the composition of soils in the areas adjacent to construction sites. However, in most cases, compacting the natural soil layer and constructing artificial foundations is ineffective. Furthermore, it goes without saying that composite soils can only be effective if their composition matches the soils of the area adjacent to the construction sites. Therefore, in some cases, constructing artificial foundations using an effective ratio of sandy and clayey soil or a mixture of gravel and clayey soil will result in cheaper design solutions and ensure the structural strength for a relatively long period.

To perform design calculations for foundations and substructures, physical, mechanical, and permeability properties of complex soils are necessary. To address these practical issues, regulatory documents must include standard and calculated values for tabular strength, deformation, and permeability parameters based on the physical properties of complex soils consisting of sand and clay soils, or a mixture of gravel and clay soils.

There are still few scientific works devoted to the study of the properties of soils formed from a mixture of sand and clay particles in their natural state. Among them, the works of V.I.Fedorov [1], A.A.Vasiliev et al. [2], and A.V.Konviz [3] can be noted.

The results of extensive laboratory and construction site experiments conducted under the leadership of V.I. Fyodorov on composite soils, i.e., natural (naturally structured) mixed soils consisting of coarse-grained soil and clayey soils, were subjected to probabilistic and statistical analysis and recommended in the form of practical guidelines for design practice.

## MAIN PART

The main of the research on composite soils was to obtain a high-strength, low-deformation, convenient and effective mixed soil solution. To this end, experiments were conducted in laboratory conditions on mixtures of coarse and medium-grained sand with natural loamy soil in various proportions.

Extensive experimental studies were conducted in laboratory conditions for cases where the compositional ratios of composite soils formed from coarse sand and medium-sized sand and loamy soil mixtures were different. According to the results of the experiments, the optimal ratios of the components of composite soils were determined, and the strength, deformation and water permeability indicators were determined depending on their physical parameters. Their results, namely the calculated physical and mechanical parameters, were analyzed using probabilistic-statistical methods and tabulated depending on their physical parameters [4].

For the design of building and structure foundations, soil strength and deformation parameters determined in the field are essential. To determine the deformation modulus of complex soils in the field using static tests conducted in the “Kaynama” mahalla, the foundation of a 52-apartment multi-story residential building under construction near 35 “Buyuk El” Street in the Samarkand District was chosen as the test site. The foundation soil consists of Category I loess loam with high subsidence. A 2.4 x 3.6 m pit was excavated at a depth of 0.75 m below the foundation depth. A 20 sm layer of a mixture of 40% coarse sand and 60% loamy soil (complex soil) with an optimal moisture content of 12-13% was filled into the pit and compacted layer by layer using a vibratory roller. Since the 20 sm thick layer became 15 sm after compaction, the total thickness of the 5 layers was 75 sm.



FIGURE 1. Process of stamping test of composite soils.

The tests were conducted using a dynamometer with a maximum load of 10 tons and a standard round punch with a diameter of 28.2 sm. The loading through the punch was carried out gradually in 14 stages (50, 100, 150, 200, 250, 300, 350, 400, 450, 500, 550, 600, 650, 700). The pressure at the first stage was also taken into account, resulting from the weight of the punch and mounting elements. According to STATE STANDART 20276.1-2020, the deflection of the punch from each stage of the load was recorded after the deformation had stabilized conditionally [5, 6]. The pressure transmitted to the punch was controlled using a dynamometer indicator. The deflections were measured using special indicators (Fig. 1).

## RESULTS

In the experiments, the relationship between the pressure transmitted to the soil through the stamp and the settlement of the stamp was obtained in the following graphical form (Fig. 2).

Stage I (pressure in the range 0–300 kPa) is compacted under the stamp proportionally with aging and springy character. Plastic deformations begin to occur under the edges of the stamp. At stage II (when the pressure exceeds 300 kPa), the zone of plastic deformation begins to spread out from under the stamp in the sides and in the ground, intensive shock deformation develops, compaction begins to occur outside the zone of plastic deformation, the radius of curvature decreases.

First stage (pressure in the range of 0–300 kPa) - compaction is observed under the stamp in proportion to the aging pressure and acquires an elastic character. Plastic deformations begin to appear under the edges of the stamp. In second stage (when the pressure exceeds 300 kPa), the zone of plastic deformations begins to develop from under

the stamp to the sides and intensive shear deformations develop in the soil, compaction begins to occur outside the zones of plastic deformation, and the radius of curvature of the graph decreases.

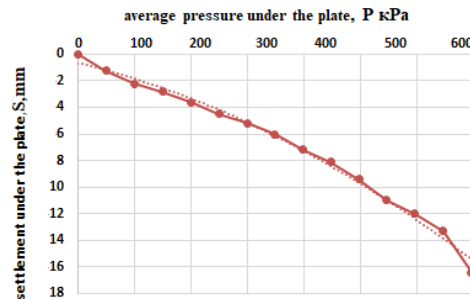


FIGURE 2. Composite soil foundation settlement pressure graph.

Graphical changes are based on the content of the data and conditionally divided into two stages:

In the course of the experiment, in order to determine the reduction of the super-sedimentation properties of the composite soil, the soil was dug from the pit of the experimental site, and soil samples were taken from every 15 cm depth. The ultra-slumpability properties of the obtained soil samples were determined in laboratory conditions by the “double curve” method in a compression device (Fig. 3).

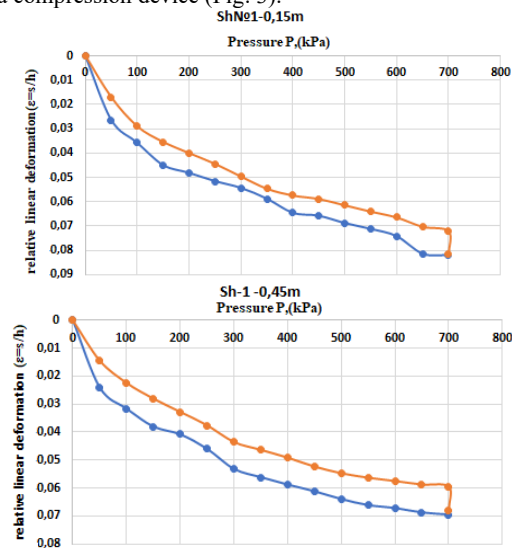


FIGURE 3. Graphs of experiments to determine the super-slumpability of composite soil samples taken from different depths with the help of a compression device.

Here  $\varepsilon_{zi}$  is the relative deformation of the soil at natural moisture;  $\varepsilon_{zi,sat}$  is relative deformation of soil sample in water-saturated condition.

The graph shows that the relative supersedimentation of the composite soil prepared by mixing loess with super-sedimentation sandy soil is  $\varepsilon_{se} < 0.01$  even in the pressure range of 0÷700 kPa. Thus, during the compaction of the composite soil, the supersedimentation of the loamy soil in its composition disappears. The small difference between the relative deformation of the water-saturated composite soils in the graphs and the relative deformation of the composite soils at natural humidity is explained by the relative decrease in the strength of the interparticle bonding of the loamy soil in the composite soil under the influence of humidity and the compact arrangement of sand particles.

The relationship between settlement and the pressure transmitted to the foundation is widely discussed in scientific literature, for example, according to the F. Schleicher method recommended in STATE STANDART 20276.1-2020 [7, 8], this relationship is determined as follows:

$$E = (1 - \mu^2) K_1 \cdot D \frac{\Delta p}{\Delta S} \quad (1)$$

where:  $\mu$  is Poisson's ratio;

$K_1$  is the accepted coefficient for a round rigid stamp is 0.79;

$D$  is round stamp diameter;

$\Delta p$  is overpressure, determined by the ratio of the load on the stamp to the surface area, kPa;

$\Delta S$  is stamp increments, sm;

The expression for calculating the depression of a single round stamp is generally known to have the following form

$$S = \frac{\pi}{4} \cdot \frac{p \cdot D \cdot (1 - \mu^2)}{E} \quad (2)$$

where  $p$  is the ratio of the load transmitted through the stamp to the surface, its average pressure;

$\mu$  is Poisson's ratio;

The relationship (2) means that the static test results of the single stamp can be used to determine the parameters of the soil at the stage of linear deformation of the base soil. For example, to determine the deformation modulus by expression (2), it is enough to solve it with respect to  $E$ . In this case

$$E = \frac{\pi}{4} \cdot \frac{p \cdot D \cdot (1 - \mu^2)}{S} \quad (3)$$

Expression (3) is based on considering the foundation soil as an elastic medium, that is, it emphasizes that the relationship between the pressure transmitted to the soil and the soil deformation should be linear. This means that the pressure transmitted to the soil should not exceed the initial limit pressure.

In order to compare the data obtained from the stamp tests, the deformation moduli obtained as a result of the experiment were determined using expressions (1) and (3), and their values are given in Table 1. According to this table, the decrease in the deformation modulus of the soil as the pressure increases can be explained by the fact that the pressure acting on the soil exceeds the initial limiting pressure and approaches the upper limiting pressure.

Using Table 1, comparison graphs of the deformation moduli determined by punch testing and calculation are given in Fig. 4 and Fig. 5.

**TABLE 1.** Table of calculated values of deformation modulus based on experimentally determined settlement of composite soils

Stamp diameter $D$ , sm	Load, $N$ kg	Pressure under the stamp $p$ , kPa	Stamping, $S$ , sm	According to $E = (1 - \mu^2) K_1 \cdot D \frac{\Delta p}{\Delta S}$ the value of the deformation modulus, MPa	According to $E = \frac{\pi}{4} \cdot \frac{p \cdot D \cdot (1 - \mu^2)}{S}$ the value of the deformation modulus, MPa
28,3	0,00	0,00	0,000	0,00	0,00
	3,14	49,95	0,125	35,4	34,1
	6,28	99,89	0,221	35,35	33,87
	9,42	149,84	0,284	34,79	31,2
	12,56	199,78	0,363	32,37	29,3
	15,7	249,73	0,450	29,41	26,5
	18,84	299,67	0,520	28,35	25,11
	21,98	349,06	0,600	26,5	23,54
	25,12	398,29	0,72	24,6	21,4
	28,26	447,37	0,810	22,4	18,99
	31,4	497,08	0,940	20,49	17,1
	34,54	546,79	1,1	17,98	15,6
	37,68	596,50	1,20	16,6	13,4
	40,82	646,20	1,330	15,47	12,1
	43,96	695,91	1,64	11,52	10,1

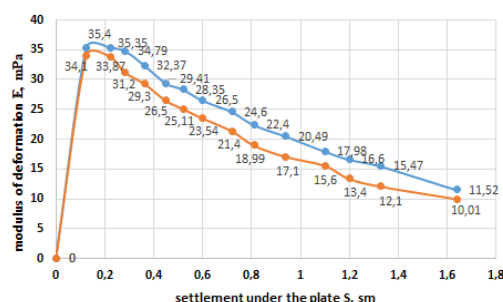


FIGURE 4. Graph of the dependence of the deformation modulus E on the settlement S: 1-(1) according to expression; 2-(3) according to expression.

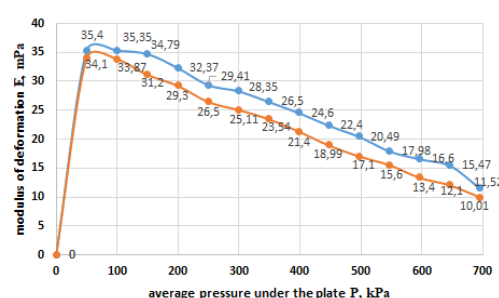


FIGURE 5. The graph of the dependence of the modulus of deformation E on the average pressure R: According to expression 1-(1); 2- According to expression (3).

Figure 5 shows that during the initial stage of loading, during the adaptation of the stamp to the soil, the deformation modulus - E increases sharply and, after reaching a maximum value, gradually decreases according to a law close to a straight line. The conducted experiments and the analysis of their results revealed that the values of the deformation moduli calculated using two different methods, namely the methods of F. Schleicher and N. A. Tsyto- vich, had significant differences.

In order to design the main foundations, in particular, using the calculation scheme of a linearly deformable half-space, the deformation modulus determined by the stamp is used in the calculation of the settlement. The values of the compressive deformation modulus and the results of the stamp tests can be reduced to a calculated value using the transition coefficient. For this, the following expression is used:

$$E_{pl} = m_k E_{oed} \quad (4)$$

where,  $m_k = E_{pl} / E_{oed}$  the experimentally determined transfer coefficient;

$E_{pl}$  is the deformation modulus determined by the stamp experiment;

$E_{oed}$  is the deformation modulus determined by compression, usually determined in the pressure range of 0.1 - 0.3 MPa.

The results of field conditions and laboratory experiments were compared and expressed in (4), and the results are presented in Table 2.

TABLE 2. Table of the results of the calculation of the general deformation modulus

Soil type	Modulus of deformation, MPa		The coefficient is perecho $m_k$
	Based on field conditions (stamp), $E_{pl}$	Based on compression (odometer), $E_{oed}$	
Composite soil (40% coarse sand and 60% soil)	34,79	31,4	1,1
	29,41	35,2	0,835
	26,5	38,7	0,685
	24,6	42,3	0,581
	22,4	48,7	0,459
	20,49	51,4	0,398

Based on the experimental data and their comparative analysis with the solutions of the theory of linearly deformable media, the following main conclusions can be drawn:

### CONCLUSION

1) It was confirmed that the graphs of the dependence of the settlement of the stamps on the applied load can be divided into 2 zones, namely in the compaction phase - the connection is linear, in which the deformation is damping, and the second - the sliding phase, in which the connection is curvilinear;

2) It was found that it is impossible to obtain the same values of deformation indicators using different, that is, laboratory and field testing methods;

3) Since it is laborious and expensive to determine the physical and mechanical parameters of the soil through experiments conducted in field conditions, it is sufficient to conduct them in laboratory conditions and, based on comparison, determine their calculated values based on the introduction of the coefficient of transfer  $m_k$ .

4) According to the results of laboratory tests, the values of the compressive deformation modulus were found to be 1.1–1.5 times higher than the deformation moduli determined in field conditions by loading the soil mass with large round stamps.

5) Analysis of the experimental results made it possible to determine the calculated values of the deformation modulus. At the same time, to ensure the reliability of the foundations of buildings and structures, it is necessary to use the minimum calculated values of the deformation modulus.

### REFERENCES

1. V. I. Fedorov, Forecasting the strength and compressibility of foundations made of debris-clay soils. Moscow: Stroyizdat, (1988).
2. A. A. Vasilev, G. L. Tkachenko, & V. L. Lebedev, Study of the strength properties of gravel soils with clay filler. Foundations, Foundations and Soil Mechanics, **4**, 16–17, (1979).
3. A. V. Konviz, Determination of the effective characteristics of the mechanical properties of inhomogeneous soils by the calculation and experimental method [Abstract of the dissertation of candidate of technical sciences]. Moscow: MISI, (1987).
4. Z. Sirojiddinov, & Sh. Azimova, Physical and mechanical properties of composite soils. Problemy mekhaniki (Uzbekistan), 19–28, (2024).
5. STATE STANDART 20276.1-2020, Grunts, Stamp testing methods, Moscow: Standartinform, (2020).
6. STATE STANDART 20276-2012, Grunts, Field Methods for Determining Strength and Deformability Characteristics, Moscow: Standartinform, (2013).
7. G. G. Boldyrev, A. V. Gordeev, & D. Arefyev, (n.d.), Determining Deformation Characteristics of Soils in Field and Laboratory Conditions. NPP Geotek, 1–9.
8. STATE STANDART 12248-2010, Grunts, Laboratory Methods for Determining Strength and Deformability Characteristics (with correction), Moscow: Standartinform, (2011).



## LICENSE TO PUBLISH AGREEMENT FOR CONFERENCE PROCEEDINGS

This License to Publish must be signed and returned to the Proceedings Editor before the manuscript can be published. If you have questions about how to submit the form, please contact the AIP Publishing Conference Proceedings office (confproc@aip.org). For questions regarding the copyright terms and conditions of this License, please contact AIP Publishing's Office of Rights and Permissions, 1305 Walt Whitman Road, Suite 300, Melville, NY 11747-4300 USA; Phone 516-576-2268; Email: [rights@aip.org](mailto:rights@aip.org).

Article Title ("Work"):

**Results of Field Experimental Studies of Artificial Foundation Soils from Composite Soils**

All Author(s):

**Shakhlo Azimova**

**Fakhriddin Khujanazarov**

Title of Conference: **AMSMT2025**

Name(s) of Editor(s): **Valentin L. Popov**

All Copyright Owner(s), if not Author(s):

(Please list all copyright owner(s) by name. In the case of a Work Made for Hire, the employer(s) or commissioning party(ies) are the copyright owner(s). For large groups of copyright owners, attach a separate list to this form.)

### Copyright Ownership and Grant of Rights

For the purposes of this License, the "Work" consists of all content within the article itself and made available as part of the article, including but not limited to the abstract, tables, figures, graphs, images, and multimedia files, as well as any subsequent errata. "Supplementary Material" consists of material that is associated with the article but linked to or accessed separately (available electronically only), including but not limited to data sets and any additional files.

This Agreement is an Exclusive License to Publish not a Transfer of Copyright. Copyright to the Work remains with the Author(s) or, in the case of a Work Made for Hire, with the Author(s)' employer(s). AIP Publishing LLC shall own and have the right to register in its name the copyright to the proceedings issue or any other collective work in which the Work is included. Any rights granted under this License are contingent upon acceptance of the Work for publication by AIP Publishing. If for any reason and at its own discretion AIP Publishing decides not to publish the Work, this License is considered void.

Each Copyright Owner hereby grants to AIP Publishing LLC the following irrevocable rights for the full term of United States and foreign copyrights (including any extensions):

- The exclusive right and license to publish, reproduce, distribute, transmit, display, store, translate, edit, adapt, and create derivative works from the Work (in whole or in part) throughout the world in all formats and media whether now known or later developed, and the nonexclusive right and license to do the same with the Supplementary Material.
- The right for AIP Publishing to freely transfer and/or sublicense any or all of the exclusive rights listed in #1 above. Sublicensing includes the right to authorize requests for reuse of the Work by third parties.
- The right for AIP Publishing to take whatever steps it considers necessary to protect and enforce, at its own expense, the exclusive rights granted herein against third parties.

### Author Rights and Permitted Uses

Subject to the rights herein granted to AIP Publishing, each Copyright Owner retains ownership of copyright and all other proprietary rights such as patent rights in the Work.

Each Copyright Owner retains the following nonexclusive rights to use the Work, without obtaining permission from AIP Publishing, in keeping with professional publication ethics and provided clear credit is given to its first publication in an AIP Publishing proceeding. Any reuse must include a full credit line acknowledging AIP Publishing's publication and a link to the Version of Record (VOR) on AIP Publishing's site.

Each Copyright Owner may:

- Reprint portions of the Work (excerpts, figures, tables) in future works created by the Author, in keeping with professional publication ethics.
- Post the Accepted Manuscript (AM) to their personal web page or their employer's web page immediately after acceptance by AIP Publishing.
- Deposit the AM in an institutional or funder-designated repository immediately after acceptance by AIP Publishing.

- Use the AM for posting within scientific collaboration networks (SCNs). For a detailed description of our policy on posting to SCNs, please see our Web Posting Guidelines (<https://publishing.aip.org/authors/web-posting-guidelines>).
- Reprint the Version of Record (VOR) in print collections written by the Author, or in the Author's thesis or dissertation. It is understood and agreed that the thesis or dissertation may be made available electronically on the university's site or in its repository and that copies may be offered for sale on demand.
- Reproduce copies of the VOR for courses taught by the Author or offered at the institution where the Author is employed, provided no fee is charged for access to the Work.
- Use the VOR for internal training and noncommercial business purposes by the Author's employer.
- Use the VOR in oral presentations made by the Author, such as at conferences, meetings, seminars, etc., provided those receiving copies are informed that they may not further copy or distribute the Work.
- Distribute the VOR to colleagues for noncommercial scholarly use, provided those receiving copies are informed that they may not further copy or distribute the Work.
- Post the VOR to their personal web page or their employer's web page 12 months after publication by AIP Publishing.
- Deposit the VOR in an institutional or funder-designated repository 12 months after publication by AIP Publishing.
- Update a prior posting with the VOR on a noncommercial server such as arXiv, 12 months after publication by AIP Publishing.

### Author Warranties

Each Author and Copyright Owner represents and warrants to AIP Publishing the following:

- The Work is the original independent creation of each Author and does not infringe any copyright or violate any other right of any third party.
- The Work has not been previously published and is not being considered for publication elsewhere in any form, except as a preprint on a noncommercial server such as arXiv, or in a thesis or dissertation.
- Written permission has been obtained for any material used from other sources and copies of the permission grants have been supplied to AIP Publishing to be included in the manuscript file.
- All third-party material for which permission has been obtained has been properly credited within the manuscript.
- In the event that the Author is subject to university open access policies or other institutional restrictions that conflict with any of the rights or provisions of this License, such Author has obtained the necessary waiver from his or her university or institution.

This License must be signed by the Author(s) and, in the case of a Work Made for Hire, also by the Copyright Owners. One Author/Copyright Owner may sign on behalf of all the contributors/owners only if they all have authorized the signing, approved of the License, and agreed to be bound by it. The signing Author and, in the case of a Work Made for Hire, the signing Copyright Owner warrants that he/she/it has full authority to enter into this License and to make the grants this License contains.

1. The Author must please sign here (except if an Author is a U.S. Government employee, then please sign under #3 below):

 **Fakhriddin Khujanazarov** 25.11.2025

2. The Copyright Owner (if different from the Author) must please sign here:

Name of Copyright Owner	Authorized Signature and Title	Date

3. If an Author is a U.S. Government employee, such Author must please sign below. The signing Author certifies that the Work was written as part of his/her official duties and is therefore not eligible for copyright protection in the United States.

Name of U.S. Government Institution (e.g., Naval Research Laboratory, NIST)

Author Signature	Print Name	Date

PLEASE NOTE: NATIONAL LABORATORIES THAT ARE SPONSORED BY U.S. GOVERNMENT AGENCIES BUT ARE INDEPENDENTLY RUN ARE NOT CONSIDERED GOVERNMENT INSTITUTIONS. (For example, Argonne, Brookhaven, Lawrence Livermore, Sandia, and others.) Authors at these types of institutions should sign under #1 or #2 above.

If the Work was authored under a U.S. Government contract, and the U.S. Government wishes to retain for itself and others acting on its behalf, a paid-up, nonexclusive, irrevocable, worldwide license in the Work to reproduce, prepare derivative works from, distribute copies to the public, perform publicly, and display publicly, by or on behalf of the Government, please check the box below and add the relevant Contract numbers.

☐ Contract #(s) \_\_\_\_\_ [1/16/1]



## LICENSE TERMS DEFINED

**Accepted Manuscript (AM):** The final version of an author's manuscript that has been accepted for publication and incorporates all the editorial changes made to the manuscript after submission and peer review. The AM does not yet reflect any of the publisher's enhancements to the work such as copyediting, pagination, and other standard formatting.

**arXiv:** An electronic archive and distribution server for research article preprints in the fields of physics, mathematics, computer science, quantitative biology, quantitative finance, and statistics, which is owned and operated by Cornell University, <http://arxiv.org/>.

**Commercial and noncommercial scholarly use:** *Noncommercial* scholarly uses are those that further the research process for authors and researchers on an individual basis for their own personal purposes. They are author-to-author interactions meant for the exchange of ideas. *Commercial* uses fall outside the author-to-author exchange and include but are not limited to the copying or distribution of an article, either in hard copy form or electronically, for resale or licensing to a third party; posting of the AM or VOR of an article by a site or service where an access fee is charged or which is supported by commercial paid advertising or sponsorship; use by a for-profit entity for any type of promotional purpose. Commercial uses require the permission of AIP Publishing.

**Embargo period:** The period of time during which free access to the full text of an article is delayed.

**Employer's web page:** A web page on an employer's site that highlights the accomplishments and research interests of the company's employees, which usually includes their publications. (See also: Personal web page and Scholarly Collaboration Network).

**Exclusive License to Publish:** An exclusive license to publish is a written agreement in which the copyright owner gives the publisher exclusivity over certain inherent rights associated with the copyright in the work. Those rights include the right to reproduce the work, to distribute copies of the work, to perform and display the work publicly, and to authorize others to do the same. The publisher does not hold the copyright to the work, which continues to reside with the author. The terms of the AIP Publishing License to Publish encourage authors to make full use of their work and help them to comply with requirements imposed by employers, institutions, and funders.

**Full Credit Line:** AIP Publishing's preferred format for a credit line is as follows (you will need to insert the specific citation information in place of the capital letters): "Reproduced from [FULL CITATION], with the permission of AIP Publishing." A FULL CITATION would appear as: Journal abbreviation, volume number, article ID number or page number (year). For example: Appl. Phys. Lett. 107, 021102 (2015).

**Institutional repository:** A university or research institution's digital collection of articles that have been authored by its staff and which are usually made publicly accessible. As authors are encouraged and sometimes required to include their published articles in their institution's repository, the majority of publishers allow for deposit of the Accepted Manuscript for this purpose. AIP Publishing also allows for the VOR to be deposited 12 months after publication of the Work.

**Journal editorial office:** The contact point for authors concerning matters related to the publication of their manuscripts. Contact information for the journal editorial offices may be found on the journal websites under the "About" tab.

**Linking to the Version of Record (VOR):** To create a link to your article in an AIP Publishing journal or proceedings, you need to know the CrossRef digital object identifier (doi). You can find the doi on the article's abstract page. For instructions on linking, please refer to our Web Posting Guidelines at <https://publishing.aip.org/authors/web-posting-guidelines>.

**National Laboratories:** National laboratories are sponsored and funded by the U.S. Government but have independent nonprofit affiliations and employ private sector resources. These institutions are classified as Federally Funded Research and Development Centers (FFRDCs). Authors working at FFRDCs are not

considered U.S. Government employees for the purposes of copyright. The Master Government List of FFRDCs may be found at <http://www.nsf.gov/statistics/ffrdclist/>.

**Personal web page:** A web page that is hosted by the author or the author's institution and is dedicated to the author's personal research interests and publication history. An author's profile page on a social media site or scholarly collaboration network site is *not* considered a personal web page. (See also: Scholarly Collaboration Network; Employer's web page).

**Peer X-Press:** A web-based manuscript submission system by which authors submit their manuscripts to AIP Publishing for publication, communicate with the editorial offices, and track the status of their submissions. The Peer X-Press system provides a fully electronic means of completing the License to Publish. A hard copy of the Agreement will be supplied by the editorial office if the author is unable to complete the electronic version of the form. (Conference Proceedings authors will continue to submit their manuscripts and forms directly to the Conference Editors.)

**Preprint:** A version of an author's manuscript intended for publication but that has not been peer reviewed and does not reflect any editorial input or publisher enhancements.

**Professional Publication Ethics:** AIP Publishing provides information on what it expects from authors in its "Statement of ethics and responsibilities of authors submitting to AIP Publishing journals" (<http://publishing.aip.org/authors/ethics>). AIP Publishing is also a member of the Committee on Publication Ethics (COPE) (<http://publicationethics.org/>), which provides numerous resources and guidelines for authors, editors, and publishers with regard to ethical standards and accepted practices in scientific publishing.

**Scholarly Collaboration Network (SCN):** Professional networking sites that facilitate collaboration among researchers as well as the sharing of data, results, and publications. SCNs include sites such as Academia.edu, ResearchGate, and Mendeley, among others.

**Supplementary Material:** Related material that has been judged by peer review as being relevant to the understanding of the article but that may be too lengthy or of too limited interest for inclusion in the article itself. Supplementary Material may include data tables or sets, appendixes, movie or audio clips, or other multimedia files.

**U.S. Government employees:** Authors working at Government organizations who author works as part of their official duties and who are not able to license rights to the Work, since no copyright exists. Government works are in the public domain within the United States.

**Version of Record (VOR):** The final published version of the article as it appears in the printed journal/proceedings or on the Scitation website. It incorporates all editorial input, is formatted in the publisher's standard style, and is usually viewed in PDF form.

**Waiver:** A request made to a university or institution to exempt an article from its open-access policy requirements. For example, a conflict will exist with any policy that requires the author to grant a nonexclusive license to the university or institution that enables it to license the Work to others. In all such cases, the Author must obtain a waiver, which shall be included in the manuscript file.

**Work:** The "Work" is considered all the material that comprises the article, including but not limited to the abstract, tables, figures, images, multimedia files that are directly embedded within the text, and the text itself. The Work does not include the Supplementary Material (see Supplementary Material above).

**Work Made for Hire:** Under copyright law, a work prepared by an employee within the scope of employment, or a work that has been specially ordered or commissioned for which the parties have agreed in writing to consider as a Work Made for Hire. The hiring party or employer is considered the author and owner of the copyright, not the person who creates the work.